

# Remarks on the Hydrogen Atom Schrödinger Operator

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## 1. Introduction

The Schrödinger operator associated to the hydrogen atom has the form

$$(1.1) \quad H = -\Delta + V, \quad V(x) = -\frac{K}{|x|}, \quad K > 0,$$

acting on functions on  $\mathbb{R}^3$ . More precisely,  $H$  is a self-adjoint operator on  $L^2(\mathbb{R}^3)$ , constructed by the Friedrichs process, with domain

$$(1.2) \quad \mathcal{D}(H) = \{u \in H^1(\mathbb{R}^3) : -\Delta u - K|x|^{-1}u \in L^2(\mathbb{R}^3)\}.$$

We recall some well known facts about this operator, details on which can be found in §7 of [T], and then produce some additional results.

First (cf. Proposition 7.2 of [T]),

$$(1.3) \quad \mathcal{D}(H) = H^2(\mathbb{R}^3).$$

Here and below,  $H^s(\mathbb{R}^3)$  will denote  $L^2$ -Sobolev spaces of functions or distributions on  $\mathbb{R}^3$ , and  $H^{s,p}(\mathbb{R}^3)$  will denote  $L^p$ -Sobolev spaces. Next, (cf. Proposition 7.3 of [T]), the part of  $\text{Spec } H$  in  $(-\infty, 0)$  is a discrete subset, consisting of eigenvalues of finite multiplicity. In more detail (cf. (7.15)–(7.38) of [T]), these eigenvalues are

$$(1.4) \quad E_n = -\frac{K^2}{4n^2}, \quad \text{eigenspaces } V_n, \quad \dim V_n = n^2,$$

for  $n = 1, 2, 3, \dots$ , and  $V_n$  has a basis consisting of functions of the form

$$(1.5) \quad p(x)q(|x|)e^{-2K|x|/n},$$

where  $p$  is a harmonic polynomial on  $\mathbb{R}^3$ , homogeneous of degree  $k$ , and  $q$  is a polynomial on  $\mathbb{R}$  of degree  $j$ , with  $j + k + 1 = n$ .

As is clear from the formula (1.5), these eigenfunctions are Lipschitz, but, in cases where  $p(x)$  is constant, they have no higher regularity. In particular,  $\mathcal{D}(H^2)$  is not contained in  $H^4(\mathbb{R}^3)$ . In [T] it is shown that

$$(1.6) \quad \mathcal{D}(H^2) \subset H^{5/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0.$$

This does not quite imply that

$$(1.7) \quad \mathcal{D}(H^2) \subset \text{Lip}(\mathbb{R}^3).$$

In §2 we prove (1.7). Part of our motivation for doing this lies in our investigation of functional calculus for  $H$ , discussed in [T2]. It is shown that interesting Littlewood-Paley results follow if one has an estimate

$$(1.8) \quad \|e^{-tH}\|_{\mathcal{L}(L^2, \text{Lip})} \leq Ct^{-5/4}, \quad \text{for } t \in (0, 1].$$

We discuss conjectural results related to (1.8) in §3. Work there naturally motivates us to consider fractional powers  $\mathcal{H}^{1+a}$ , for  $a \in (0, 1)$ , where

$$(1.9) \quad \mathcal{H} = H + K^2/4 + 1,$$

a self-adjoint operator with spectrum in  $[1, \infty)$ . In §4 we show that, on the one hand,

$$(1.10) \quad \mathcal{D}(\mathcal{H}^{1+a}) \subset \text{Lip}(\mathbb{R}^3), \quad \text{if } 1+a > \frac{5}{4},$$

and, on the other hand,

$$(1.11) \quad \mathcal{D}(\mathcal{H}^{1+a}) = H^{2(1+a)}(\mathbb{R}^3), \quad \text{if } 1+a < \frac{5}{4}.$$

Section 5 contains some results and conjectures on the orthogonal projection  $P$  of  $L^2(\mathbb{R}^3)$  onto the direct sum of the eigenspaces associated with the negative spectrum of  $H$ .

## 2. Domain of $H^2$

We next examine the domain of  $H^2$ , for  $H$  of the form (1.1). As shown in Proposition 7.7 of [T],

$$(2.1) \quad \mathcal{D}(H^2) \subset H^{5/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0.$$

Here we desire to prove the following, which is not quite implied by (2.1).

**Proposition 2.1.** *We have*

$$(2.2) \quad \mathcal{D}(H^2) \subset \text{Lip}(\mathbb{R}^3).$$

*Proof.* We start by recalling the proof of Proposition 7.7 of [T]. Pick  $\lambda \notin \text{Spec } H$ . Take  $u \in \mathcal{D}(H^2)$ , and set  $(H - \lambda)u = f \in \mathcal{D}(H) = H^2(\mathbb{R}^3)$ . Also set  $R_\lambda = (-\Delta - \lambda)^{-1}$ . Then

$$(2.3) \quad u = -R_\lambda V u + R_\lambda f, \quad R_\lambda f \in H^4(\mathbb{R}^3).$$

The next step taken in [T] is to use the fact that

$$(2.4) \quad M_V : H^2(\mathbb{R}^3) \longrightarrow H^{1/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0,$$

to deduce that  $R_\lambda V u \in H^{5/2-\varepsilon}(\mathbb{R}^3)$ , yielding (2.1) from (2.3).

We modify the last part of this argument as follows. Pick

$$(2.5) \quad \begin{aligned} \chi \in C_0^\infty(\mathbb{R}^3), \quad \chi(x) = 1 \text{ for } |x| \leq 1, \quad \chi(x) = 0 \text{ for } |x| \geq 2, \\ V = \chi V + (1 - \chi)V = V_0 + V_1. \end{aligned}$$

Then

$$(2.6) \quad u \in \mathcal{D}(H) = H^2(\mathbb{R}^3) \Rightarrow V_1 u \in H^2(\mathbb{R}^3) \Rightarrow R_\lambda V_1 u \in H^4(\mathbb{R}^3).$$

Meanwhile, since

$$(2.7) \quad H^2(\mathbb{R}^3) \subset C^\alpha(\mathbb{R}^3), \quad \alpha = \frac{1}{2},$$

where, for  $0 < \alpha < 1$ ,

$$(2.8) \quad \|v\|_{C^\alpha} = \|v\|_{L^\infty} + \sup \left\{ \frac{|v(x) - v(y)|}{|x - y|^\alpha} : x \neq y \in \mathbb{R}^3, |x - y| \leq 1 \right\},$$

we can write, for  $u \in \mathcal{D}(H)$ ,

$$(2.9) \quad u = u(0)\chi + u_1, \quad u_1 \in C^\alpha(\mathbb{R}^3), \quad u_1(0) = 0.$$

Hence, given  $u \in \mathcal{D}(H)$ ,

$$(2.10) \quad R_\lambda V_0 u = u(0)R_\lambda V_0 \chi + R_\lambda V_0 u_1.$$

Now  $V_0 u_1$  is supported on  $\{x : |x| \leq 2\}$ , and

$$(2.11) \quad |V_0(x)u_1(x)| \leq C|x|^{\alpha-1},$$

for  $\alpha = 1/2$ .

In fact, given  $u \in \mathcal{D}(H^2)$ , we can use (2.1) to improve the conclusion of (2.9) to  $u_1 \in C^\alpha(\mathbb{R}^3)$  for all  $\alpha < 1$ , and we also have (2.11) for all  $\alpha < 1$ . Consequently,

$$(2.12) \quad V_0 u_1 \in L^p(\mathbb{R}^n), \quad \forall p \in (1, \infty),$$

hence

$$(2.13) \quad R_\lambda V_0 u_1 \in H^{2,p}(\mathbb{R}^3), \quad \forall p \in (1, \infty).$$

We deduce that, if  $u \in \mathcal{D}(H^2)$ , with  $(H - \lambda)u = f \in \mathcal{D}(H)$ , then

$$(2.14) \quad \begin{aligned} u &= -R_\lambda(u(0)V_0\chi + V_0u_1) \quad \text{mod } H^4(\mathbb{R}^3) \\ &= -u(0)R_\lambda(V_0\chi) \quad \text{mod } H^{2,p}(\mathbb{R}^3), \end{aligned}$$

for all  $p \in [2, \infty)$ . Given that

$$(2.15) \quad \Delta|x| = 2|x|^{-1}, \quad \text{on } \mathbb{R}^3,$$

we have

$$(2.16) \quad R_\lambda(V_0\chi) = \frac{K}{2}|x|\chi^2 + \frac{\lambda K}{2}R_\lambda(|x|\chi^2) \quad \text{mod } \mathcal{S}(\mathbb{R}^3).$$

and

$$(2.16A) \quad R_\lambda(|x|\chi^2) \in \bigcap_{1 \leq q < \infty} H^{3,q}(\mathbb{R}^3).$$

We hence have the following result, which is more precise than Proposition 2.1.

**Proposition 2.2.** *We have*

$$(2.17) \quad \mathcal{D}(H^2) \subset \text{Span}(|x|\chi(x)) + \bigcap_{2 \leq p < \infty} H^{2,p}(\mathbb{R}^3).$$

In view of the formula (1.5) for elements of  $V_n$ , which of course belong to  $\mathcal{D}(H^k)$  for all  $k$ , this is a pretty good result. However, we take one more pass through the proof of Propositions 2.1 and 2.2, to obtain an improvement of (2.13)–(2.14). To begin, given  $u \in \mathcal{D}(H^2)$ , we can now say that  $u_1$  in (2.9) satisfies

$$(2.18) \quad u_1 \in \text{Lip}(\mathbb{R}^3), \quad u_1(0) = 0.$$

It follows that

$$(2.19) \quad |\nabla(V_0 u_1)| \leq C|x|^{-1},$$

hence

$$(2.20) \quad \nabla(V_0 u_1) \in L^{3-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon \in (0, 1],$$

so

$$(2.21) \quad R_\lambda V_0 u_1 \in H^{3,3-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon \in (0, 1].$$

Note that this improves (2.13), since

$$(2.22) \quad \bigcap_{1 < q < 3} H^{1,q}(\mathbb{R}^3) \subset \bigcap_{1 < p < \infty} L^p(\mathbb{R}^3),$$

hence

$$(2.23) \quad \bigcap_{1 < q < 3} H^{3,q}(\mathbb{R}^3) \subset \bigcap_{1 < p < \infty} H^{2,p}(\mathbb{R}^3).$$

Also, since  $H^1(\mathbb{R}^3) \subset L^6(\mathbb{R}^3)$ , it follows that  $H^4(\mathbb{R}^3) \subset H^{3,6}(\mathbb{R}^3) \cap H^{3,2}(\mathbb{R}^3) \subset H^{3,q}(\mathbb{R}^3)$ , if  $2 \leq q \leq 6$ , so we improve (2.14) to

$$(2.24) \quad u = -u(0)R_\lambda(V_0\chi), \quad \text{mod } H^{3,q}(\mathbb{R}^3),$$

for each  $q \in [2, 3)$ . In light of (2.16)–(2.16A), this gives:

**Proposition 2.3.** *Improving Proposition 2.2,*

$$(2.25) \quad \mathcal{D}(H^2) \subset \text{Span}(|x|\chi) + \bigcap_{2 \leq q < 3} H^{3,q}(\mathbb{R}^3).$$

### 3. Conjectural semigroup and resolvent estimates

Estimates on the semigroup  $e^{-tH}$  are of great significance. We state a conjecture which, if true, would have nice applications to Littlewood-Paley theory associated to the operator  $H$ .

**Conjecture 3.1.** *The semigroup  $e^{-tH}$  satisfies, for  $t \in (0, 1]$ ,*

$$(3.1) \quad \begin{aligned} \|e^{-tH}\|_{\mathcal{L}(L^2, L^\infty)} &\leq Ct^{-3/4}, \quad \text{and} \\ \|e^{-tH}\|_{\mathcal{L}(L^2, \text{Lip})} &\leq Ct^{-3/4-1/2}. \end{aligned}$$

In connection with this, we have the following.

**Proposition 3.2.** *Set*

$$(3.2) \quad \mathcal{H} = H + \frac{K^2}{4} + 1,$$

*which is self-adjoint with spectrum in  $[1, \infty)$ . Then there exists  $C < \infty$  such that*

$$(3.3) \quad \sup_{t \geq 1} \|e^{-t\mathcal{H}}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C.$$

*Proof.* Let  $t = 1 + s$ ,  $s \geq 0$ . Since  $e^{-t\mathcal{H}} = e^{-\mathcal{H}}e^{-s\mathcal{H}}$  and  $e^{-s\mathcal{H}}$  is a contraction on  $L^2(\mathbb{R}^3)$ , we have

$$(3.4) \quad \sup_{t \geq 1} \|e^{-t\mathcal{H}}\|_{\mathcal{L}(L^2, \text{Lip})} \leq \|e^{-\mathcal{H}}\|_{\mathcal{L}(L^2, \text{Lip})}.$$

Since

$$(3.5) \quad e^{-\mathcal{H}} = \mathcal{H}^{-2}(\mathcal{H}^2 e^{-\mathcal{H}}),$$

and  $\mathcal{H}^2 e^{-\mathcal{H}}$  is bounded on  $L^2(\mathbb{R}^3)$ , we have

$$(3.6) \quad \|e^{-\mathcal{H}}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C_1 \|\mathcal{H}^{-2}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C,$$

the last inequality by Proposition 2.1.

Using  $\mathcal{H}$ , we can rewrite the second conjectural estimate in (3.1) as

$$(3.7) \quad \|e^{-t\mathcal{H}}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C(t^{-3/4-1/2} + 1), \quad t > 0.$$

It is useful to connect (3.7) to resolvent estimates.

**Proposition 3.3.** *If (3.7) holds, then*

$$(3.8) \quad \|(1 + t^2\mathcal{H}^2)^{-1}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C(t^{-3/4-1/2} + 1), \quad t > 0.$$

*Proof.* We can use

$$(3.9) \quad (1 + t^2\mathcal{H}^2)^{-1} = (1 + t\mathcal{H})^{-2}(1 + t\mathcal{H})^2(1 + t^2\mathcal{H}^2)^{-1}$$

to reduce our task to showing that (3.7) implies

$$(3.10) \quad \|(1 + t\mathcal{H})^{-2}\|_{\mathcal{L}(L^2, \text{Lip})} \leq C(t^{-3/4-1/2} + 1).$$

To prove (3.10), we use the identity

$$(3.11) \quad (1 + t\mathcal{H})^{-\sigma} = \frac{1}{\Gamma(\sigma)} \int_0^\infty e^{-s} e^{-st\mathcal{H}} s^{\sigma-1} ds.$$

Then, given (3.7),

$$(3.12) \quad \begin{aligned} \|(1 + t\mathcal{H})^{-\sigma}\|_{\mathcal{L}(L^2, \text{Lip})} &\leq C \int_0^{t^{-2}} e^{-s} (st)^{-3/4-1/2} s^{\sigma-1} ds \\ &\quad + C \int_{t^{-2}}^\infty e^{-s} s^{\sigma-1} ds \\ &\leq C_1(t^{-3/4-1/2} + 1), \end{aligned}$$

with  $C_1 < \infty$  if  $\sigma > 3/4 + 1/2$ . In particular, this applies for  $\sigma = 2$ .

We also have a converse, derivable via the following useful result.

**Proposition 3.4.** *If (3.8) holds, then*

$$(3.13) \quad \|\Phi(t\mathcal{H})\|_{\mathcal{L}(L^2, \text{Lip})} \leq C(t^{-3/4-1/2} + 1), \quad t > 0,$$

whenever  $\Phi(\lambda)$  satisfies

$$(3.14) \quad |\Phi(\lambda)| \leq C(1 + |\lambda|)^{-2}.$$

*Proof.* Indeed,

$$(3.15) \quad \Phi(t\mathcal{H}) = (1 + t^2\mathcal{H}^2)^{-1}(1 + t^2\mathcal{H}^2)\Phi(t\mathcal{H}),$$

and the product of the last two factors is uniformly bounded on  $L^2(\mathbb{R}^3)$ .

Taking

$$(3.16) \quad \Phi(\lambda) = e^{-|\lambda|},$$

we see that

$$(3.8) \implies (3.7).$$

REMARK. Having (3.7), we also see from (3.12) that the estimate (3.13) holds provided

$$(3.17) \quad |\Phi(\lambda)| \leq C(1 + |\lambda|)^{-\beta}, \quad \beta > \frac{5}{4}.$$

#### 4. Domain of $\mathcal{H}^{1+a}$

By Proposition 3.4 and the subsequent remark, involving (3.17), we see that if Conjecture 3.1 is true, then the following must hold.

**Proposition 4.1.** *Take  $\mathcal{H}$  as in (3.2). Then*

$$(4.1) \quad \mathcal{D}(\mathcal{H}^{1+a}) \subset \text{Lip}(\mathbb{R}^3), \quad \text{for } 1+a > \frac{5}{4}.$$

Our first goal here is to prove (4.1).

To start, take  $a \in (0, 1)$  and  $u \in \mathcal{D}(\mathcal{H}^{1+a})$ , and write

$$(4.2) \quad \mathcal{H}u = f \in \mathcal{D}(\mathcal{H}^a) = H^{2a}(\mathbb{R}^3),$$

the last identification by (1.3) (which implies  $\mathcal{D}(\mathcal{H}) = H^2(\mathbb{R}^3)$ ) and interpolation. Now  $\mathcal{H} = H - \lambda$ , with  $\lambda = -1 - K^2/4 \notin \text{Spec } H$ , so, parallel to (2.3), we have

$$(4.3) \quad u = -R_\lambda V u + R_\lambda f, \quad R_\lambda f \in H^{2(1+a)}(\mathbb{R}^3).$$

Note that  $H^{2(1+a)}(\mathbb{R}^3) \subset \text{Lip}(\mathbb{R}^3)$  precisely for  $1+a > 5/4$ . Taking  $V = V_0 + V_1$ , as in (2.5), we can rewrite (4.3) as

$$(4.4) \quad \begin{aligned} u &= -R_\lambda V_0 u - R_\lambda V_1 u + R_\lambda f \\ &= -R_\lambda V_0 u \quad \text{mod } H^{2(1+a)}(\mathbb{R}^3), \end{aligned}$$

since  $u \in \mathcal{D}(\mathcal{H}) \Rightarrow R_\lambda V_1 u \in H^4(\mathbb{R}^3)$ .

Next, parallel to (2.9),

$$(4.5) \quad u = u(0)\chi + u_1.$$

At this point, we can say the following about  $u_1$ . Since

$$(4.6) \quad \mathcal{D}(\mathcal{H}) = H^2(\mathbb{R}^3) \subset C^{1/2}(\mathbb{R}^3), \quad \text{and } \mathcal{D}(\mathcal{H}^2) \subset \text{Lip}(\mathbb{R}^3),$$

an interpolation argument gives, for  $a \in (0, 1)$ ,

$$(4.7) \quad u \in \mathcal{D}(\mathcal{H}^{1+a}) \implies u \in C^{(1+a)/2}(\mathbb{R}^3), \quad \forall \alpha < a,$$

so

$$(4.8) \quad u_1 \in C^{(1+a)/2}(\mathbb{R}^3), \quad \text{and } u_1(0) = 0.$$



Now, by (4.4),

$$(4.10) \quad u = -u(0)R_\lambda(V_0\chi) - R_\lambda V_0 u_1, \quad \text{mod } H^{2(1+a)}(\mathbb{R}^3),$$

and, by (4.8),

$$(4.11) \quad |V_0(x)u_1(x)| \leq C\chi(x)|x|^{\beta-1}, \quad \forall \beta < \frac{1+a}{2}.$$

Next,

$$(4.12) \quad \begin{aligned} 1+a > \frac{5}{4} &\Rightarrow |V_0(x)u_1(x)| \leq C\chi(x)|x|^{-3/8+\varepsilon} \quad (\varepsilon > 0) \\ &\Rightarrow V_0 u_1 \in L^8(\mathbb{R}^3) \\ &\Rightarrow R_\lambda V_0 u_1 \in H^{2,8}(\mathbb{R}^3) \\ &\Rightarrow R_\lambda V_0 u_1 \in C^{1+r}(\mathbb{R}^3), \quad \forall r < \frac{5}{8}. \end{aligned}$$

Meanwhile, (2.16)–(2.16A) apply to  $R_\lambda(V_0\chi)$ , so we have the desired result (4.1).

Here is an interesting complement to Proposition 4.1.

**Proposition 4.2.** *We have*

$$(4.15) \quad \mathcal{D}(\mathcal{H}^{1+a}) = H^{2(1+a)}(\mathbb{R}^3), \quad \text{for } 1 \leq 1+a < \frac{5}{4}.$$

*Proof.* First, take  $u \in \mathcal{D}(\mathcal{H}^{1+a})$ . The first part of the proof of Proposition 4.1 applies in this setting, to yield (4.10), i.e.,

$$(4.16) \quad u \in \mathcal{D}(\mathcal{H}^{1+a}) \Rightarrow u = -u(0)R_\lambda(V_0\chi) - R_\lambda V_0 u_1 \quad \text{mod } H^{2(1+a)}(\mathbb{R}^3).$$

Also,  $1+a \leq 5/4 \Rightarrow H^{2(1+a)}(\mathbb{R}^3) \supset H^{5/2}(\mathbb{R}^3)$ , so (2.16)–(2.16A) implies  $R_\lambda(V_0\chi) = (K/2)|x|\chi^2 \text{ mod } H^{2(1+a)}(\mathbb{R}^3)$ . Meanwhile, for the Fourier transform of  $|x|\chi^2$  we have

$$(4.17) \quad \begin{aligned} \mathcal{F}(|x|\chi^2)(\xi) &\sim C\langle \xi \rangle^{-2} \mathcal{F}(|x|^{-1}\chi^2)(\xi) \\ &\sim C\langle \xi \rangle^{-4}, \end{aligned}$$

and hence

$$(4.18) \quad |x|\chi^2 \in H^{5/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0.$$

Hence, as long as  $1 \leq 1+a < 5/4$ ,

$$(4.19) \quad u \in \mathcal{D}(\mathcal{H}^{1+a}) \Rightarrow u = -R_\lambda V_0 u_1 \quad \text{mod } H^{2(1+a)}(\mathbb{R}^3).$$

At this point, we have  $u_1 \in \mathcal{D}(\mathcal{H}) = H^2(\mathbb{R}^3)$  and  $V_0 = |x|^{-1}\chi$ . Parallel to (4.17)–(4.18),  $\widehat{V}_0(\xi) \sim C\langle\xi\rangle^{-2}$ , so  $V_0 \in H^{1/2-\varepsilon}(\mathbb{R}^3)$ ,  $\forall \varepsilon > 0$ , hence, for all  $\varepsilon > 0$ ,

$$(4.20) \quad V_0 \in H^{1/2-\varepsilon}(\mathbb{R}^3), \quad \text{hence } V_0 u_1 \in H^{1/2-\varepsilon}(\mathbb{R}^3),$$

so

$$(4.21) \quad u \in \mathcal{D}(\mathcal{H}) \Rightarrow R_\lambda V_0 u_1 \in H^{5/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0.$$

This proves that  $\mathcal{D}(\mathcal{H}^{1+a}) \subset H^{2(1+a)}(\mathbb{R}^3)$  if  $1 \leq 1+a < 5/4$ . Using

$$(4.22) \quad \begin{aligned} \mathcal{D}(\mathcal{H}^{1+a}) &= \{u \in \mathcal{D}(\mathcal{H}) : \mathcal{H}u \in \mathcal{H}^a\} \\ &= \{u \in H^2(\mathbb{R}^3) : \mathcal{H}u \in H^{2a}(\mathbb{R}^3)\}, \end{aligned}$$

one can produce a similar argument to establish the converse.

REMARK. We have

$$(4.23) \quad \|e^{-t\mathcal{H}}\|_{\mathcal{L}(L^2, \mathcal{D}(\mathcal{H}^{5/4}))} = \|\mathcal{H}^{5/4}e^{-t\mathcal{H}}\|_{\mathcal{L}(L^2)} \leq Ct^{-5/4},$$

an estimate that, in light of Propositions 4.1–4.2, is tantalizingly close to the conjectured estimate (3.1), but of course not quite on the mark.

## 5. Projection onto the negative spectrum of $H$

Let

$$(5.1) \quad P = \text{orthogonal projection of } L^2(\mathbb{R}^3) \text{ onto } \bigoplus_{n \geq 1} V_n,$$

where the spaces  $V_n$  are the eigenspaces of  $H$  described in (1.4). Clearly

$$(5.2) \quad P : L^2(\mathbb{R}^3) \longrightarrow \bigcap_{k \geq 1} \mathcal{D}(H^k).$$

In particular,

$$(5.3) \quad P : L^2(\mathbb{R}^3) \longrightarrow H^{5/2-\varepsilon}(\mathbb{R}^3), \quad \forall \varepsilon > 0,$$

and

$$(5.4) \quad P : L^2(\mathbb{R}^3) \longrightarrow \text{Lip}(\mathbb{R}^3).$$

A special case of (1.5) is

$$(5.5) \quad V_1 = \text{Span } e^{-2K|x|},$$

so  $\text{Lip}(\mathbb{R}^3)$  cannot be replaced by  $C^1(\mathbb{R}^3)$  in (5.4). Note that (5.3) implies

$$(5.6) \quad P : L^2(\mathbb{R}^3) \longrightarrow \bigcap_{2 \leq p \leq \infty} L^p(\mathbb{R}^3),$$

and

$$(5.7) \quad P : L^2(\mathbb{R}^3) \longrightarrow C_0(\mathbb{R}^3).$$

By duality, since  $P = P^*$ ,

$$(5.8) \quad P : L^q(\mathbb{R}^3) \longrightarrow L^2(\mathbb{R}^3), \quad \forall q \in [1, 2],$$

and, since also  $P^2 = P$ , we then have

$$(5.9) \quad P : L^q(\mathbb{R}^3) \longrightarrow L^p(\mathbb{R}^3), \quad \text{for } 1 \leq q \leq 2 \leq p \leq \infty.$$

Note that each eigenfunction described in (1.5) belongs to  $L^p(\mathbb{R}^3)$  for all  $p \in [1, \infty]$ . Nevertheless, we hazard the following:

**Conjecture 5.1.** *Given  $p \in [1, \infty]$ ,*

$$(5.10) \quad P : L^2(\mathbb{R}^3) \rightarrow L^p(\mathbb{R}^3) \implies p \geq 2, \quad \text{and}$$

$$(5.11) \quad P : L^p(\mathbb{R}^3) \rightarrow L^p(\mathbb{R}^3) \implies p = 2.$$

Note that the operators  $H^k P$  enjoy the mapping properties (5.2)–(5.4) and (5.6)–(5.9). Actually, these operators are milder than  $P$ . For example, it follows from (1.4) that

$$(5.12) \quad HP \text{ is Hilbert-Schmidt, and } H^2 P \text{ is trace class, on } L^2(\mathbb{R}^3).$$

We therefore hazard the following:

**Conjecture 5.2.** *There exist  $p_0, p_1 \in (1, 2)$  such that*

$$(5.13) \quad HP : L^2(\mathbb{R}^3) \longrightarrow L^p(\mathbb{R}^3), \text{ for } p \in (p_0, 2], \text{ and}$$

$$(5.14) \quad HP : L^p(\mathbb{R}^3) \longrightarrow L^p(\mathbb{R}^3), \text{ for } p \in (p_1, p'_1).$$

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