## Euler equation on a rotating surface

Michael Taylor

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Notes available on my website, under Downloadable Lecture Notes

8. Seminar talks and AMS talks

See also 21. Euler and Navier-Stokes equations Euler equation for ideal, incompressible flow

$$rac{\partial u}{\partial t} + 
abla_u u = -
abla p, \quad ext{div} \ u = 0.$$

u = fluid velocity, p = pressure.

Valid on  $\mathbb{R}^n$ , also on *n*-dimensional Riemannian manifold *M*. Then  $\nabla_u$  denotes the covariant derivative.

If  $\partial M \neq \emptyset$ , *u* is tangent to  $\partial M$ .

Euler equation on a rotating 2D surface  $M \subset \mathbb{R}^3$ .

$$\frac{\partial u}{\partial t} + \nabla_u u = \Omega \chi(x) J u - \nabla p, \quad \text{div } u = 0.$$

Assume constant angular velocity  $\omega = -\Omega/2$ , rotation about  $x_3$  axis.

Term  $\Omega \chi(x) Ju$  reflects Coriolis force.

$$\chi(x)=e_3\cdot\nu(x).$$

Outward pointing normal  $\nu(x)$  to  $x \in M$ .

$$M=S^2 \Longrightarrow \chi(x)=x_3.$$

 $J: T_{x}M \rightarrow T_{x}M$ , counterclockwise rotation by 90°.

Standing hypotheses on  $M \subset \mathbb{R}^3$ .

*M* is diffeomorphic to  $S^2$  and has positive Gauss curvature.

M is rotationally symmetric about  $x_3$ -axis.

Conservation of energy.

Assume u solves the 2D rotational Euler equation. Then

$$\frac{1}{2} \frac{d}{dt} \|u(t)\|_{L^2}^2 = (\partial_t u, u)$$
$$= -(\nabla_u u, u) - (u, \nabla p) + \Omega(J\chi u, u).$$

We claim the three terms on the right are all zero. First,

$$\nabla_u^* v = -\nabla_u v - (\operatorname{div} u) v,$$

for vector fields u and v. Next,

$$(u,\nabla p)=-(\operatorname{div} u,p).$$

Finally,

$$Ju(x) \perp u(x), \quad \forall x \in M.$$

Consequently we have conservation of energy:

$$\frac{1}{2}\frac{d}{dt}\|u(t)\|_{L^2}^2=0.$$

Differential form presentation,  $u \mapsto \tilde{u}$  (1-form).

$$\frac{\partial \tilde{u}}{\partial t} + \nabla_u \tilde{u} = \Omega \chi * \tilde{u} - d\rho, \quad \delta \tilde{u} = 0.$$

## Alternative

$$\frac{\partial \tilde{u}}{\partial t} + \mathcal{L}_{u}\tilde{u} = \Omega\chi * \tilde{u} + d\left(\frac{1}{2}|u|^{2} - p\right).$$

 $\mathcal{L}_u$  is the Lie derivative. It commutes with the exterior derivative, d.

Vorticity

$$d\tilde{u} = \tilde{w} = w\alpha, \quad w = \operatorname{rot} u,$$

 $\alpha$  is the area form on M.

Vorticity Equation.

Apply d to the Euler equation. Get

$$rac{\partial ilde{w}}{\partial t} + \mathcal{L}_u ilde{w} = \Omega d(\chi * ilde{u}).$$

Right side equals  $\Omega(d\chi \wedge *\tilde{u}) = \Omega \langle d\chi, u \rangle \alpha = \Omega \nabla_u \chi$ . Hence

$$\frac{\partial w}{\partial t} + \nabla_u w = \Omega \nabla_u \chi$$

Alternative

$$\frac{\partial}{\partial t}(w-\Omega\chi)+\nabla_u(w-\Omega\chi)=0.$$

Conservation law.

Stream function.

Given that  $\mathcal{H}^1(M) = 0$ ,  $\operatorname{div} u = 0 \Longrightarrow d * \tilde{u} = 0$   $\Longrightarrow \tilde{u} = *df$  $\Longrightarrow u = J\nabla f$ .

with f (the stream function) determined up to an additive constant.

We have

$$w = \Delta f$$
,

and the vorticity equation can be written as

$$\frac{\partial w}{\partial t} + \langle J \nabla f, \nabla (w - \Omega \chi) \rangle = 0.$$

Another conservation law (from rotational symmetry of M).

 $X_3$  generates  $2\pi$ -periodic rotation about  $x_s$ -axis.

Generates flow by isometries on *M*. Hence div  $X_3 = 0$ .

Hence there exists  $\xi \in C^{\infty}(M)$  such that

$$J\nabla \xi = -X_3.$$

Note that

$$M=S^2\Longrightarrow \xi=\chi=x_3.$$

More generally, under our hypotheses,  $\xi$  and  $\chi$  are smooth functions of  $x_3$ , with positive  $x_3$ -derivatives.

Theorem. If u solves the rotational Euler equation and  $w = \operatorname{rot} u$ , then

$$\int_{M} \xi(x) w(t, x) \, dS(x) \text{ is independent of } t.$$

Proof uses the vorticity equation, and the fact that  $\nabla_u$  and  $X_3$  are skew-adjoint on scalar functions. Also it brings in the stream function f, and shows that

$$\frac{d}{dt}\int_{M}\xi w(t)\,dS=(X_{3}f,\Delta f)=0$$

Another presentation of Euler's equation. Eliminate the pressure.

$$\frac{\partial \tilde{u}}{\partial t} + P \nabla_u \tilde{u} = \Omega B \tilde{u},$$

where

$$B\tilde{u}=P(\chi*P\tilde{u}).$$

The Leray projection

$$P: L^{2}(M, \Lambda^{1}) \longrightarrow \{ \tilde{u} \in L^{2}(M, \Lambda^{1}) : \delta \tilde{u} = 0 \},\$$

orthogonal projection that annihilates dp. Via Hodge decomposition,

$$P=-\delta\Delta_2^{-1}d.$$

We have

$$B^* = -B, \quad B \in OPS^{-1}(M),$$

via

$$B\widetilde{u} = -\delta \Delta_2^{-1} d(\chi * P\widetilde{u}) = -\delta \Delta_2^{-1} (d\chi \wedge * P\widetilde{u}).$$

Existence for initial value problem,

$$\tilde{u}(0) = \tilde{u}_0 \in H^s(M), \quad \delta \tilde{u}_0 = 0.$$

Construct mollified evolution equation, using

$$J_{arepsilon}=arphi(arepsilon\Delta_1), \quad arphi\in C_0^\infty(\mathbb{R}), \; arphi(0)=1.$$

Solve

$$\begin{split} \frac{\partial \tilde{u}_{\varepsilon}}{\partial t} + \mathcal{P}J_{\varepsilon}\nabla_{u_{\varepsilon}}J_{\varepsilon}\tilde{u}_{\varepsilon} &= \Omega J_{\varepsilon}BJ_{\varepsilon}\tilde{u}_{\varepsilon}, \\ \mathcal{P}\tilde{u}_{\varepsilon} &= \tilde{u}_{\varepsilon}, \quad \tilde{u}_{\varepsilon} = J_{\varepsilon}\tilde{u}_{0}. \end{split}$$

Need to estimate  $\tilde{u}_{\varepsilon}$  in  $H^{s}(M)$ , for  $t \in I$ , independent of  $\varepsilon$ , and take  $\varepsilon \to 0$ , for short time existence.

First step,

$$\frac{1}{2}\frac{d}{dt}\|\tilde{u}_{\varepsilon}(t)\|_{L^{2}}^{2}=-(PJ_{\varepsilon}\nabla_{u_{\varepsilon}}J\tilde{u}_{\varepsilon},\tilde{u}_{\varepsilon})+\Omega(J_{\varepsilon}BJ_{\varepsilon}\tilde{u}_{\varepsilon},\tilde{u}_{\varepsilon})=0.$$

Hence

$$\|\tilde{u}_{\varepsilon}(t)\|_{L^2} \equiv \|J_{\varepsilon}\tilde{u}_0\|_{L^2}.$$

Higher order Sobolev estimates. Take  $A = (-\Delta_1)^{1/2}$ .

$$\frac{1}{2}\frac{d}{dt}\|\tilde{u}_{\varepsilon}(t)\|_{H^{s}}^{2}=-(A^{s}PJ_{\varepsilon}\nabla_{u_{\varepsilon}}J\tilde{u}_{\varepsilon},A^{s}\tilde{u}_{\varepsilon})+\Omega(A^{s}J_{\varepsilon}BJ_{\varepsilon}\tilde{u}_{\varepsilon},A^{s}\tilde{u}_{\varepsilon}).$$

Need commutator estimates:

$$\|[A^s, 
abla_{u_{arepsilon}}]J_{arepsilon}\widetilde{u}_{arepsilon}\|_{L^2} \leq C\|\widetilde{u}_{arepsilon}(t)\|_{C^1}\|\widetilde{u}_{arepsilon}(t)\|_{H^s}.$$

Moser estimates, for  $s = 2k \ge 4$ . Kato-Ponce estimates, for real s > 2.

Proposition. Given s > 2,  $\tilde{u}_0 \in H^s(M)$ ,  $\delta \tilde{u}_0 = 0$ , there is a unique solution to the Euler equation on an interval I about 0, satisfying

$$\widetilde{u}\in C(I,H^s(M))\cap C^1(I,H^{s-1}(M)),\quad \widetilde{u}(0)=\widetilde{u}_0.$$

The solution depends continuously on the initial data  $\tilde{u}_0$ . Furthermore, if  $\tilde{u}$  is such a solution on I = (-a, b), then  $\tilde{u}$  continues beyond the endpoints unless  $\|\tilde{u}(t)\|_{C^1(M)}$  blows up at an endpoint. Global existence.

Theorem. Given s > 2,  $\tilde{u}_0 \in H^s(M)$ ,  $\delta \tilde{u}_0 = 0$ , the interval for existence for the Euler equation is  $I = \mathbb{R}$ . Furthermore, one has the global estimate

$$\|\tilde{u}(t)\|_{H^s}^2 \leq C \|\tilde{u}_0\|_{H^s}^2 \exp \exp(C_s A|t|),$$

with

$$A = \|\operatorname{rot} u_0\|_{L^{\infty}} + C|\Omega| + 1.$$

Proof makes use of the estimate

$$\frac{d}{dt}\|\tilde{u}(t)\|_{H^{s}}^{2} \leq C\|\tilde{u}(t)\|_{C^{1}}\|\tilde{u}(t)\|_{H^{s}}^{2} + C|\Omega| \cdot \|\tilde{u}(t)\|_{H^{s-1}}^{2},$$

hence involves bounding  $||u(t)||_{C^1}$ .

To start, vorticity equation yields

$$\|w(t)\|_{L^{\infty}} \leq \|w(0)\|_{L^{\infty}} + 2|\Omega|, \quad w(t) = \operatorname{rot} u(t).$$

Now elliptic regularity gives

$$\|u(t)\|_{bmo^1} \leq C \|\operatorname{rot} u(t)\|_{L^{\infty}},$$

given div u(t) = 0, but it fails to produce such a bound for  $||u(t)||_{C^1}$ .

Beale-Kato-Majda approach, [BKM]. Bring in

$$\|u\|_{C^1} \leq C \Big(\log \frac{A\|u\|_{H^s}}{\|\operatorname{rot} u\|_{L^\infty}}\Big) \|\operatorname{rot} u\|_{L^\infty},$$

with s > 2 (dim M = 2).

Then for  $y(t) = \|\tilde{u}(t)\|_{H^s}^2$ , we have

$$\frac{dy}{dt} \leq C_s A(1 + \log^+ y(t))y(t),$$

and via Gronwall's inequality we obtain the conclusion of the theorem.

Stationary solutions

$$abla_u u = \Omega \chi J u - \nabla p, \quad \text{div } u = 0.$$

Vorticity equation for  $w = \operatorname{rot} u$ ,

$$\nabla_u(w-\Omega\chi)=0,$$

or

$$\langle J\nabla f, \nabla(\omega - \Omega\chi) \rangle = 0,$$

f = stream function,

$$w = \Delta f$$
,  $u = J\nabla f$  ( $\tilde{u} = *df$ ).

Equivalently

$$\nabla(\Delta f - \Omega\chi) \| \nabla f.$$

Zonal solutions.

M rotationally symmetric, Killing field  $X_3$ , positive Gauss curvature.

Definition. If  $X_3 f = 0$  (so  $f = f(x_3)$ ), we say f is a zonal function. Then  $u = J\nabla f$  is a zonal field.

Proposition. If f is a zonal function, then  $u = J\nabla f$  is a stationary solution to the Euler equation. Proof. We have

$$\chi = \chi(x_3), f = f(x_3), w = w(x_3),$$

hence  $\nabla f$ , and  $\nabla (\Delta f - \Omega \chi)$  are both parallel to the x<sub>3</sub>-axis.

Non-zonal solutions. Rossby-Haurwitz waves.

Specialize to  $M = S^2$ . Look for solutions f to

$$\Delta f = \psi(f) + \Omega x_3.$$

Take  $\psi(f) = -\lambda_k f$ ,  $k \ge 2$ , where

$$Spec(-\Delta) = \{\lambda_k = k^2 + k : k = 0, 1, 2, \dots\}.$$

Note that  $\Delta x_3 = -\lambda_1 x_3$  on  $S^2$ . Solve

$$(\Delta + \lambda_k)f = \Omega x_3,$$

Solution

$$f = rac{\Omega}{\lambda_k - 2} x_3 + g_k, \quad g_k \in {\it Ker}(\Delta + \lambda_k).$$

 $g_k$  = restriction to  $S^2$  of harmonic polynomial, homogeneous of degree k.

Examples:

$$k = 2, \quad \lambda_k = 6, \quad g_k(x) = x_1^2 - x_2^2,$$
  
 $k = 3, \quad \lambda_k = 12, \quad g_k(x) = Re(x_1 + ix_2)^3,$ 

and so on.

Given such a stream function f, we have stationary Euler flow

$$u=-\frac{\Omega}{\lambda_k-2}X_3+J\nabla g_k.$$

Known as Rossby-Hauritz waves of degree k. (Particularly k = 2.) Instability of such solutions impacts the difficulty of long-term weather forecasting. Stability criterion for zonal flows.

Variant of Arn'old stability method. Seek stable critical points of

$$\mathcal{H}(u) = \int\limits_{M} \left\{ \frac{1}{2} |u|^2 + \varphi(w - \Omega\chi) + \gamma \xi w \right\} dS,$$

with  $w = \operatorname{rot} u$ ,  $\varphi$  and  $\gamma$  tuned to the stationary solution u. Note  $\mathcal{H}(u(t))$  independent of t when u(t) solves Euler equation. Take  $u = J\nabla f$ ,  $w = \Delta f$ , and rewrite functional as

$$H(f) = \int\limits_{M} \left\{ rac{1}{2} |
abla f|^2 + arphi(\Delta f - \Omega\chi) + \gamma \xi \Delta f 
ight\} dS.$$

Get

$$\partial_{s}H(f+sg)\big|_{s=0} = \int_{M} \Big\{-f + \varphi'(\Delta f - \Omega\chi) + \gamma\xi\Big\}\Delta g \, dS.$$

Vanishes for all g if and only if

$$f = \varphi(\Delta f - \Omega \chi) + \gamma \xi,$$

up to an additive constant, which we can take to be 0.

Critical point condition

$$f = \varphi(\Delta f - \Omega \chi) + \gamma \xi.$$

If  $\nabla f \parallel \nabla \xi$ , the critical point condition implies

$$\nabla(\Delta f - \Omega\chi) \| \nabla f,$$

hence

$$\langle J\nabla f, \nabla (w - \Omega \chi) \rangle = 0,$$

which is the stationary vorticity equation, implying that  $u = J\nabla f$  is a stationary Euler flow. Works when f is zonal.

For f not zonal, this method works only if  $\gamma=$  0, and yields weaker results.

For zonal f, write  $f = f(\xi)$ ,  $w = \Delta f = w(\xi)$ , and rewrite critical point equation as

$$\varphi'(w(\xi) - \Omega\chi(\xi)) = f(\xi) - \gamma\xi.$$

Given  $\gamma \in \mathbb{R}$ , this uniquely specifies  $\varphi'$  provided

 $w'(\xi) - \Omega \chi'(\xi)$  is bounded away from 0,

so

$$w(\xi) - \Omega \chi(\xi)$$
 is strictly monotone in  $\xi$ .

Call this Condition A. If it holds,  $\varphi$  is determined, up to an additive constant, and

$$arphi''(w - \Omega\chi) = rac{\gamma - f'(\xi)}{\Omega\chi'(\xi) - w'(\xi)}$$

Stability condition.

Assume f is zonal, and assume Condition A holds. Choose  $\varphi$  so that critical point equation holds. Then

$$\partial_s^2 H(f+sg)\big|_{s=0} = \int_M \left\{ |\nabla g|^2 + \varphi''(\Delta f - \Omega \chi)(\Delta g)^2 \right\} dS$$
$$= \int_M \left\{ |\nabla g|^2 + \frac{\gamma - f'(\xi)}{\Omega \chi'(\xi) - w'(\xi)} (\Delta g)^2 \right\} dS.$$

Give Condition A, either

$$\Omega\chi'(\xi)-w'(\xi)$$
 is  $\geq b>0$  or  $\leq -b<0$ 

on M. In either case, can make

$$\mathcal{K}(\xi) = rac{\gamma - f'(\xi)}{\Omega\chi'(\xi) - w'(\xi)} \geq c > 0$$

on M, by taking  $\gamma$  sufficiently positive in the first case and sufficiently negative in the second case.

Conclusion. Let f be zonal and satisfy Condition A. Then one can choose  $\gamma$  (and then  $\varphi$ ) so that f is a critical point of H, and

$$\partial_s^2 H(f+sg)\big|_{s=0} \geq \|\nabla g\|_{L^2}^2 + C\|\Delta g\|_{L^2}^2,$$

with C > 0, for all  $g \in H^2(M)$ .

This implies stability of f (defined only up to an additive constant) in  $H^2(M)$ .

Theorem. Given a smooth  $f(\xi)$ ,  $u = J\nabla f$  is a stable stationary solution to the Euler equation in  $H^1(M)$ , as long as  $\Omega$  is such that Condition A holds, with  $w = \Delta f$ .

Note. Condition A always holds for  $|\Omega|$  sufficiently large.

Perturbations of stable zonal flows - other estimates.

If  $u_s$  is a zonal flow,  $u^{\varepsilon}(t)$  an Euler flow with  $u^{\varepsilon}(0)$  close to  $u_s$ , since  $\mathcal{H}(u^{\varepsilon}(t))$  is independent of t, the estimate

$$|\mathcal{H}(u^{\varepsilon}(t)) - \mathcal{H}(u_s)| \approx ||u^{\varepsilon}(t) - u_s||^2_{H^1},$$

which holds if the stability criterion holds, implies

$$\|u^{\varepsilon}(t)-u_s\|_{H^1}\leq C\|u^{\varepsilon}(0)-u_s\|_{H^1},$$

for all t.

Next goal: look for bounds on

$$w^{arepsilon}(t) = u^{arepsilon}(t) - u_s$$

in other norms.

Tools for the analysis. [BKM] estimate

$$\|w\|_{C^1} \leq C \Big(\log \frac{A\|w\|_{H^s}}{\|\operatorname{rot} w\|_{L^\infty}}\Big) \|\operatorname{rot} w\|_{L^\infty},$$

and [BW] type estimate

$$\|w\|_{L^{\infty}} \leq C \Big( \log \frac{A \|\operatorname{rot} w\|_{L^{\infty}}}{\|\operatorname{rot} w\|_{L^{2}}} \Big)^{1/2} \|\operatorname{rot} w\|_{L^{2}},$$

with s > 2, in both cases assuming div w = 0. Then

 $\|\operatorname{rot} w\|_{L^2} \approx \|w\|_{H^1}, \quad \|\operatorname{rot} w\|_{L^\infty} \geq \|\operatorname{rot} w\|_{bmo} \approx \|u\|_{bmo^1}.$ 

Another tool, vorticity equation (conservation law):

$$\|\operatorname{rot} u^{arepsilon}(t) - \Omega\chi\|_{L^{\infty}} \equiv \|\operatorname{rot} u^{arepsilon}(0) - \Omega\chi\|_{L^{\infty}}.$$

Follow strategy of [T2].

First estimate on  $w^arepsilon(t)=u^arepsilon(t)-u_s.$ 

$$\|w^{\varepsilon}(t)\|_{L^{\infty}} \leq C \Big(\log rac{AK(u^{\varepsilon}(0), u_s)}{\|w^{\varepsilon}(0)\|_{H^1}}\Big)^{1/2} \|w^{\varepsilon}(0)\|_{H^1},$$

with

$$\mathcal{K}(u^{\varepsilon}(0), u_{s}) = \|\operatorname{rot} u^{\varepsilon}(0)\|_{L^{\infty}} + \|\operatorname{rot} u_{s}\|_{L^{\infty}} + 2|\Omega|.$$

Second estimate.

$$\|\operatorname{rot} w^{\varepsilon}(t)\|_{L^{\infty}} \leq \|\operatorname{rot} w^{\varepsilon}(0)\|_{L^{\infty}} + K_2(u^{\varepsilon}(0), u_s) \cdot |t|,$$

with

$$\mathcal{K}_2(u^{\varepsilon}(0), u_s) = \|\operatorname{rot} u_s - \Omega\chi\|_{C^1} \cdot \sup_s \|w^{\varepsilon}(s)\|_{L^{\infty}},$$

last factor amenable to first estimate. Effective for

$$|t| \lesssim K_2(u^{\varepsilon}(0), u_s)^{-1}$$

Otherwise, just use vorticity conservation.

Third estimate.

$$egin{aligned} \|w^arepsilon(t)\|_{C^1} &\leq Cig(\log^+A\|w^arepsilon(t)\|_{H^s}ig)\|\operatorname{rot} w^arepsilon(t)\|_{L^\infty}\ &+ Cig(\log^+rac{1}{\|\operatorname{rot} w^arepsilon(t)\|_{L^\infty}}ig)\|\operatorname{rot} w^arepsilon(t)\|_{L^\infty}. \end{aligned}$$

Fix *s* > 2.

Double exponential estimate on  $||u^{\varepsilon}(t)||_{H^{s}}$  yields exponential bound on  $||w^{\varepsilon}(t)||_{C^{1}}$ .

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## Appendix

To compute  $\nabla^*_u$ , write

$$\int_{M} \langle \nabla_{u} v, w \rangle \, dV = \int_{M} \left[ \nabla_{u} \langle v, w \rangle - \langle v, \nabla_{u} w \rangle \right] dv.$$

To finish, use

$$\int_{M} (\nabla_{u} f) \, dV = \int_{M} \langle u, \nabla f \rangle \, dV = - \int_{M} (\operatorname{div} u) f \, dV.$$

Hence

$$\nabla_u^* v = -\nabla_u v - (\operatorname{div} u) v.$$

To relate  $\mathcal{L}_u \tilde{u}$  to  $\nabla_u \tilde{u}$ , write

$$\begin{split} \langle \mathcal{L}_{u}\tilde{u}, \mathbf{v} \rangle &= \mathcal{L}_{u} \langle \tilde{u}, \mathbf{v} \rangle - \langle \tilde{u}, [u, \mathbf{v}] \rangle \\ &= \nabla_{u} \langle \tilde{u}, \mathbf{v} \rangle - \langle \tilde{u}, \nabla_{u} \mathbf{v} - \nabla_{v} u \rangle \\ &= \langle \nabla_{u}\tilde{u}, \mathbf{v} \rangle + \langle \tilde{u}, \nabla_{v} u \rangle \\ &= \langle \nabla_{u}\tilde{u}, \mathbf{v} \rangle + \frac{1}{2} \nabla_{v} \langle u, u \rangle \\ &= \langle \nabla_{u}\tilde{u}, \mathbf{v} \rangle + \frac{1}{2} \langle d | u |^{2}, \mathbf{v} \rangle, \end{split}$$

obtaining

$$\mathcal{L}_u \tilde{u} = \nabla_u \tilde{u} + \frac{1}{2} d|u|^2.$$

Variant of [BKM] estimate.

$$\|u\|_{C^k} \leq C \Big( \log \frac{A \|u\|_{H^s}}{\|u\|_{C^k_*}} \Big) \|u\|_{C^k_*},$$

for s > k + n/2, on *n*-dimensional *M*.

Take 
$$\Psi \in C_0^{\infty}(\mathbb{R})$$
,  $\Psi(\lambda) = 1$  for  $|\lambda| < 1$ , 0 for  $|\lambda| > 2$ .  
 $u = \Psi(\varepsilon D)u + (I - \Psi(\varepsilon D))u$ .

Establish 2 estimates:

$$\begin{split} \|(I - \Psi(\varepsilon D))u\|_{C^{k}} &\leq C \|(I - \Psi(\varepsilon D))u\|_{H^{s-\delta}} \\ &\leq C\varepsilon^{\delta} \|(I - \Psi(\varepsilon D))u\|_{H^{s}} \\ \Psi(\varepsilon D)u\|_{C^{k}} &\leq C \Big(\log \frac{1}{\varepsilon}\Big) \|u\|_{C^{k}_{*}}. \end{split}$$

Pick

First estimate is elementary, second follows from Littlewood-Paley characterization of  $C_*^k$ .

 $\varepsilon^{\delta} = \frac{\|u\|_{C_*^k}}{\|u\|_{H^s}}.$ 

Variant of [BW] estimate.

$$\|u\|_{L^{\infty}} \leq C \Big( \log \frac{A \|u\|_{H^{n/p,q}}}{\|u\|_{H^{n/p,p}}} \Big)^{1-1/p} \|u\|_{H^{n/p,p}}$$

on n-dimensional M, given  $1 , <math>p < q < \infty$ . Present case: n = p = 2.

Take 
$$\Psi \in C_0^{\infty}(\mathbb{R})$$
,  $\Psi(\lambda) = 1$  for  $|\lambda| \le 1$ , 0 for  $|\lambda| > 2$ .  
$$u = \Psi(\varepsilon D)u + (I - \Psi(\varepsilon D))u.$$

Establish 2 estimates:

$$\|(I - \Psi(\varepsilon D))u\|_{L^{\infty}} \le C\varepsilon^r \|u\|_{C^r} \le C\varepsilon^r \|u\|_{H^{n/p,q}}$$
  
with  $r = n/p - n/q > 0$ , and

$$\|\Psi(\varepsilon D)u\|_{L^{\infty}} \leq C\Big(\log \frac{1}{\varepsilon}\Big)^{1-1/p} \|u\|_{H^{n/p,p}}.$$

Pick

$$\varepsilon^{r} = \frac{\|u\|_{H^{n/p,p}}}{\|u\|_{H^{n/p,q}}}.$$

For first estimate, use Littlewood-Paley characterization of  $C^r$ .

For second, use

$$\Lambda^{-s}v=J_{s}*v, \quad J_{s}(x)\sim c|x|^{s-n} \ \ ext{for} \ \ |x|\leq 1,$$

for 0 < s < n, especially s = n/p. This yields

$$\Psi(\varepsilon D)\Lambda^{-s}v=K_{s,\varepsilon}*v,$$

with

$$egin{aligned} & \mathcal{K}_{s,arepsilon}(x)| \leq C \, arepsilon^{s-n}, & |x| \leq arepsilon, \ & \mathcal{C}|x|^{s-n}, & |x| \in [arepsilon,1], \end{aligned}$$

in turn giving the second estimate.